

Hindustan Copper Limited
Details of Disciplinary Cases having Vigilance input in 2017, 2018, 2019 and 2020 (till 30.04.2020)

Year	Rank of Employee	No. of Vigilance cases				Outcome of disposed cases
		B/F from previous year	Initiated during the year	Total	Disposed	
2017	Board Level Appointee	-	-	-	-	-
	E-9	1	-	1	1	1 Minor Penalty imposed
	E-8	-	-	-	-	-
	E-7	6	-	6	6	4 Major Penalty imposed & 2 Minor Penalty imposed
	E-6	7	-	7	7	7 Major Penalty imposed
	E-5	5	2	7	3	2 Major Penalty imposed & 1 Minor Penalty imposed
	E-4	2	1	3	3	1 Major Penalty imposed & 2 Minor Penalty imposed
	E-3	-	-	-	-	-
	E-2	2	-	2	1	1 Exonerated
	E-1	-	-	-	-	-
	E-0	-	-	-	-	-
	Workman	1	-	1	1	1 Minor Penalty imposed
	Total	24	3	27	22	14 Major Penalty Imposed, 7 Minor Penalty imposed & 1 Exonerated. 5 cases carried forward
2018	Board Level Appointee	-	-	-	-	-
	E-9	-	1	1	-	-
	E-8	-	-	-	-	-
	E-7	-	-	-	-	-
	E-6	-	-	-	-	-
	E-5	4	-	4	2	2 Minor Penalty imposed
	E-4	-	-	-	-	-
	E-3	-	-	-	-	-
	E-2	1	-	1	1	1 Major Penalty imposed
	E-1	-	-	-	-	-
	E-0	-	-	-	-	-
	Workman	-	1	1	-	-
	Total	5	2	7	3	1 Major Penalty Imposed & 2 Minor Penalty imposed. 4 cases carried forward.

Year	Rank of Employee	No. of Vigilance cases				Outcome of disposed cases
		B/F from previous year	Initiated during the year	Total	Disposed	
2019	Board Level Appointee	-	1	1	-	-
	E-9	1	1	2	1	1 Major Penalty Imposed
	E-8	-	-	-	-	-
	E-7	-	2	2	-	-
	E-6	-	2	2	-	-
	E-5	2	2	4	-	-
	E-4	-	2	2	-	-
	E-3	-	-	-	-	-
	E-2	-	-	-	-	-
	E-1	-	-	-	-	-
	E-0	-	-	-	-	-
	Workman	1	-	1	-	-
	Total	4	10	14	1	1 Major Penalty Imposed. 13 cases carried forward
2020 (upto 30.04.2020)	Board Level Appointee	1	-	1	-	-
	E-9	1	-	1	-	-
	E-8	-	1	1	-	-
	E-7	2	-	2	1	1 Minor Penalty Imposed
	E-6	2	-	2	2	2 Minor Penalty Imposed
	E-5	4	-	4	2	2 Minor Penalty Imposed
	E-4	2	-	2	2	2 Minor Penalty Imposed
	E-3	-	-	-	-	-
	E-2	-	-	-	-	-
	E-1	-	-	-	-	-
	E-0	-	-	-	-	-
	Workman	1	-	1	-	-
	Total	13	1	14	7	7 Minor Penalty imposed. 7 cases carried forward as on 01.05.2020

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Details of CBI Court cases against HCL Employees in 2017, 2018, 2019 and 2020 (till 30.04.2020)

Year	Rank of Employee	No. of Vigilance cases				Outcome of disposed cases
		B/F from previous year	Initiated during the year	Total	Disposed	
2017	Board Level Appointee	-	-	-	-	-
	E-9	-	-	-	-	-
	E-8	-	-	-	-	-
	E-7	-	-	-	-	-
	E-6	-	-	-	-	-
	E-5	1	-	1	-	-
	E-4	-	-	-	-	-
	E-3	-	-	-	-	-
	E-2	-	-	-	-	-
	E-1	-	-	-	-	-
	E-0	-	-	-	-	-
	Workman	1	-	1	-	-
	Total	2	-	2	-	2 cases carried forward
2018	Board Level Appointee	-	-	-	-	-
	E-9	-	-	-	-	-
	E-8	-	-	-	-	-
	E-7	-	-	-	-	-
	E-6	-	-	-	-	-
	E-5	1	-	1	1	Case was discharged by the court due to sanction for prosecution not having been granted by Appropriate Authority
	E-4	-	-	-	-	-
	E-3	-	-	-	-	-
	E-2	-	-	-	-	-
	E-1	-	-	-	-	-
	E-0	-	-	-	-	-
	Workman	1	-	1	1	Convicted and sentenced
	Total	2	-	2	2	1 Conviction, 1 Discharged
2019	BLA	-	-	-	-	-
	E-9	-	-	-	-	-
	E-8	-	-	-	-	-
	E-7	-	-	-	-	-
	E-6	-	-	-	-	-
	E-5	-	1	1	-	Revision petition filed against Discharge Order of 2018
	E-4	-	-	-	-	-
	E-3	-	-	-	-	-
	E-2	-	-	-	-	-
	E-1	-	-	-	-	-
	E-0	-	-	-	-	-
	Workman	-	-	-	-	-
	Total	-	1	1	-	1 case carried forward

Year	Rank of Employee	No. of Vigilance cases				Outcome of disposed cases
		B/F from previous year	Initiated during the year	Total	Disposed	
2020 (up to 30.04.2020)	Board Level Appointee	-	-	-	-	-
	E-9	-	-	-	-	-
	E-8	-	-	-	-	-
	E-7	-	-	-	-	-
	E-6	-	-	-	-	-
	E-5	1	-	1	-	-
	E-4	-	-	-	-	-
	E-3	-	-	-	-	-
	E-2	-	-	-	-	-
	E-1	-	-	-	-	-
	E-0	-	-	-	-	-
	Workman	-	-	-	-	-
	Total	1	-	1	-	1 case carried forward.

Hindustan Copper Limited

Details of Disciplinary Cases of Administrative nature for Corruption related cases in 2017, 2018, 2019 and 2020 (till 30.04.2020)

Year	Rank of Employee	No. Of DA Cases					Outcome of Disposed Cases
		B/F from previous year	Initiated during the year	Total	Disposed	Closing Bal of the year	
2017	Board Level Appointee	0	0	0	0	0	
	E-9	0	0	0	0	0	
	E-8	0	0	0	0	0	
	E-7	0	0	0	0	0	
	E-6	0	0	0	0	0	
	E-5	1	0	1	0	1	
	E-4	0	0	0	0	0	
	E-3	1	0	1	0	1	
	E-2	0	0	0	0	0	
	E-1	0	0	0	0	0	
	E-0	0	0	0	0	0	
	Workmen	0	0	0	0	0	
	Total	2	0	2	0	2	
2018	Board Level Appointee	0	0	0	0	0	
	E-9	0	0	0	0	0	
	E-8	0	0	0	0	0	
	E-7	0	0	0	0	0	
	E-6	0	0	0	0	0	
	E-5	1	0	1	1	0	1 Minor Penalty imposed
	E-4	0	0	0	0	0	
	E-3	1	0	1	1	0	Case closed after death of the CSE
	E-2	0	0	0	0	0	
	E-1	0	0	0	0	0	
	E-0	0	0	0	0	0	
	Workmen	0	0	0	0	0	
	Total	2	0	2	2	0	

Year	Rank of Employee	No. Of DA Cases					Outcome of Disposed Cases
		B/F from previous year	Initiated during the year	Total	Disposed	Closing Bal of the year	
2019	Board Level Appointee	0	0	0	0	0	
	E-9	0	1	1	0	1	
	E-8	0	0	0	0	0	
	E-7	0	0	0	0	0	
	E-6	0	1	1	0	1	
	E-5	0	0	0	0	0	
	E-4	0	0	0	0	0	
	E-3	0	0	0	0	0	
	E-2	0	0	0	0	0	
	E-1	0	0	0	0	0	
	E-0	0	0	0	0	0	
	Workmen	0	0	0	0	0	
Total	0	2	2	0	2		
2020 (upto 30.04.2020)	Board Level Appointee	0	0	0	0	0	
	E-9	1	0	1	0	1	
	E-8	0	0	0	0	0	
	E-7	0	0	0	0	0	
	E-6	1	0	1	0	1	
	E-5	0	0	0	0	0	
	E-4	0	0	0	0	0	
	E-3	0	0	0	0	0	
	E-2	0	0	0	0	0	
	E-1	0	0	0	0	0	
	E-0	0	0	0	0	0	
	Workmen	0	0	0	0	0	
Total	2	0	2	0	2		